

Docket No. 5000-5131

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Satoshi NAKAGAWA, et al.

Group Art Unit: 2879

Serial No.: 10/692,831

Examiner:

Matthew P. HODGES

Filed:

October 24, 2003

For:

ORGANIC ELECTROLUMINESCENT DEVICE

## **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97 and 1.98. The items listed on Form PTO-1449, a copy of which is enclosed, are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching.

1.	K)	English language, an English language translation of that item or a portion thereof o concise explanation of the relevance of that item is enclosed.					
2.		For each of the following items listed on the enclosed copy of Form PTO-1449 the not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application.					
3.		enclos	opy of the items listed on the enclosed copy of Form PTO-1449 that is not sed with this Information Disclosure Statement was previously cited by or tted to the Patent and Trademark Office in application Serial No, filed				
			•				
4.		No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:					
			37 C.F.R. §1.97(b)(1), within three months of the filing date of a national application other than a CPA; or				
			37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491 in an international application; or				

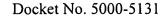
	37 C.F.R. §1.97(b)(3), before the mailing date of a first Office action on the merits; or
	37 C.F.R. §1.97(b)(4) before the mailing date of a first office action after the filing of an RCE under §1.114.
5.	No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below.
6.	A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a notice of allowance (where there has been no prior final action):
	A check in the amount of \$180.00 is enclosed in payment of the fee.
	Charge the fee to Deposit Account No. <u>13-4500</u> , Order No A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
7.	A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(d), after the mailing date of a final action or a notice of allowance, whichever comes first, but before payment of the issue fee, and is accompanied by:
	a. one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below; and
	b. the fee due under 37 C.F.R. §1.17(p) which is paid as set forth in paragraph 11 below.
8.	This Information Disclosure Statement is being filed in compliance with:
	a. 37 C.F.R. §1.313(b)(3) or §1.313(c)(1), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition To Withdraw Application From Issue and fee pursuant to 37 C.F.R. §1.17(h);
	b. 37 C.F.R. §1.313(c)(2) or §1.313(c)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Request for Continued Examination (RCE) or a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition To Withdraw Application From Issue and fee pursuant to 37 C.F.R. §1.17(h).

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		c. 🗌	The fee due under 37 C. below.	F.R. §	§1.17(h) is paid as set forth in paragraph 11			
9.		I hereby certify that each item of information contained in this Information Statement was first cited in a communication from a foreign patent off counterpart foreign application not more than three months prior to the Information Disclosure Statement.						
		filed he counter was kn	erewith was cited in a corrpart foreign application	mmuni or, to r signate	ation in the Information Disclosure Statement cation from a foreign patent office in a my knowledge after making reasonable inquiry, ed in §1.56(c) more than three months prior to re Statement.			
10.	$\boxtimes$	This document is accompanied by a Search Report Communication which was cited in a corresponding PCT or Foreign counterpart application						
11.	A check in the amount of \$ is enclosed in payment of the fees due under 37 C.F.R. §§1.17(h) and 1.17(p).							
Charge the fees due under 37 C.F.R. §§1.17(h) and 1.17(p) to Deposit Account 13-4500, Order No A DUPLICATE COPY OF THIS SHEET IS ATTACHED.								
		require	ed to charge any additional fees which may be re Statement, or credit any overpayment to No. 5000-5131. A DUPLICATE COPY OF					
					Respectfully submitted, MORGAN & FINNEGAN, L.L.P.			
Dated: August 1, 2006				Ву:	Steven F. Meyer Registration No. 35,613			
Correspondence Address:					<u> </u>			
MC	RGA	N & FIN	NEGAN, L.L.P.					
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(21.	212) 415-8701 Facsimile							

Docket No. <u>5000-5131</u> Serial No. <u>10/692,831</u>

		c	The fee due under 37 C below.	.F.R. §	§1.17(h) is paid as set forth in paragraph 11		
9.		Statem	rmation contained in this Information Disclosure nication from a foreign patent office in a ore than three months prior to the filing of this				
		filed he counter was kn	erewith was cited in a co	mmun or, to a esignat	nation in the Information Disclosure Statement ication from a foreign patent office in a my knowledge after making reasonable inquiry, red in §1.56(c) more than three months prior to the Statement.		
10.	$\boxtimes$	This do	ocument is accompanied a corresponding PC	by □ T or ∑	a Search Report 🔀 Communication which was Foreign counterpart application		
11.	11. A check in the amount of \$\\ C.F.R. \§\\$1.17(h) and 1.17(p).						
Charge the fees due under 37 C.F.R. §§1.17(h) and 1.17(p) to Deposit Additional Additional Section Additional				§1.17(h) and 1.17(p) to Deposit Account No. ICATE COPY OF THIS SHEET IS			
The Commissioner is hereby authorized to charge any additional fees whic required for this Information Disclosure Statement, or credit any overpaym Deposit Account No. <u>13-4500</u> , Order No. <u>5000-5131</u> . A DUPLICATE CO THIS SHEET IS ATTACHED.							
				Respectfully submitted, MORGAN & FINNEGAN, L.L.P.			
Dated: August 1, 2006 By					Steven F. Meyer Registration No. 35,613		
Correspondence Address:					2		
			INEGAN, L.L.P.				
3 World Financial Center New York, NY 10281-2101							
-			Telephone Facsimile				





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Filed:

October 24, 2003

For:

ORGANIC ELECTROLUMINESCENT DEVICE

**CERTIFICATE OF MAILING (37 C.F.R. §1.8(A))** 

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I hereby certify that the attached:

- 1. Information Disclosure Statement (3 pages, and duplicate);
- 2. Form PTO-1449 (1 page);
- 3. References Cited Therein (1 of 1);
- 4. Return Receipt Postcard;

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted, MORGAN & FINNEGAN, L.L.P.

Dated: August 1, 2006

Steven F. Meyer

Registration No. 35,613

Correspondence Address:

MORGAN & FINNEGAN, L.L.P. 3 World Financial Center New York, NY 10281-2101 (212) 415-8700 Telephone (212) 415-8701 Facsimile

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EXAMINER: I	nitial if reference considered, whether	or not citation i	s in confe	ormance with MPEP §609.		<u> </u>		

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.